

SCHEME ADVISORY BOARD SURVEY OF LGPS LOCAL PENSION BOARDS

Section 1 : Compliance & Administration

Part 1

1 When did the Board first meet? (insert date DD/MM/Year)*

28/07/2015

2 How often a year is the Board required to meet?*

Twice

3 How many meetings have been held to date?*

5

4 What is the number of employer representatives on the Board?*

3

5 What is the number of employee representatives on the Board?*

3

6 How was the Chair of the Board appointed?*

Recruited after competition

7 Is the Chair of the Board remunerated?*

Yes

7a What remuneration is paid?

£5000pa

8 Are any other members of the Board remunerated?*

No

9 Are expenses paid to Board members?*

Yes

10 Is facility time given by the scheme manager to employee representatives of the Board?*

No

Part 2

11 Is there a written constitution for the Board?*

Yes

12 Does the Board have a terms of reference?*

Yes

13 Does the Board have a conflict of interest register?*

Yes

14 Do you keep a register of breaches of the law?*

Yes

15 Is there a risk register?*

Yes

16 Is there a programme for Board members to acquire knowledge and understanding?*

Yes

17 Where "YES" has been given as the answer to Questions 11 to 16, on a scale of 1 (very poor to 10 (very good), how would you evaluate :-

i) the constitution

1 10

ii) the terms of reference

1 10

iii) the conflict of interest register

1 10

iv) the register of breaches

1 10

v) the risk register

1 10

vi) the knowledge and understanding programme

1 10

18 Is personal liability or indemnity insurance available to Board members?*

Yes

19 Is the Board compliant with guidance issued by the Scheme Advisory Board?*

Yes

20 If the answer to Question 19 is "No", give reasons below :-

21 Give up to three examples where you think the Board is working well :-

22 Give up to three examples where you think the Board could improve what it does:-

Section 2 : Operation and Working Relationship

23 On a scale of 1 (very poor) to 10 (very good), how would you evaluate :-

i) the relationship between the administering authority and the board?

1 10

ii) the relationship between the pensions' committee and the board?

1 10

iii) the board's ability to identify non-compliance with legal requirements?

1 10

iv) the board's ability to make recommendations to the administering authority when non compliance has been identified?

1 10

v) the administering authority's response to any such recommendations?

1 10

vi) the effectiveness of communication between the administering authority, pensions' committee and the board?

1 10

vii) the knowledge and understanding programme available to the board?

1

10

24 Has the administering authority in any capacity attended any local pension board meetings?*

Yes



25 In the last 12 months, have any breaches of the law been identified by the local pension board?*

No



26 Who is responsible for agreeing the agenda for local pension board meetings?*

The Chairman

27 Have any local pension board meetings not been quorate?*

No



28 Is voting a regular feature of local pension board meetings?*

No



29 Does the local pension board have a webpage on the administering authority's web site?*

No



30 Does the local pension board have a workplan?*

No



31 Has the local pension board agreed any success measures/KPIs for its work?*

No



32 Has the local pension board produced an Annual Report?*

Yes



33 Are the local pension board's papers agenda, papers, etc, available on the board's webpage?*

No



34 Does the local pension board have control of a budget?*

Yes



35 If it has a budget, can it be used to access independent external advice?*

Yes



36 Does the local pension board have access to internal and external audit reports?*

No



37 Describe below any ways in which you think the working relationship between the administering authority, pensions' committee and the board could be improved.

38 Use the space below to comment on any other aspect of the new governance arrangements that you consider to be relevant.

39 Please confirm the capacity in which you are completing this survey*

Local pension board chair



40 Please confirm the name of the organisation/pension board you represent*

Warwickshire Local Pension Board